

ATES PATENT AND TRADEMARK OFFICE

Applicant:

Y. J. Hu

Examiner:

Tuan Quach

Serial No.: 10/636180

Group Art Unit:

2811

Filed:

August 07, 2003

Docket:

303.808US2

Title:

BURIED DIGIT LINE STACK AND PROCESS FOR MAKING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

The attached documents were discovered as a result of a Search Report in Applicants' corresponding foreign patent application. Enclosed for the Examiner's information is a copy of the cited documents and the Search Report. The concise explanation and relevance of the non-English language documents is believed to be provided by the category listing, e.g. "A", "X", "Y", etc., on the enclosed Search Report according to MPEP 609.

It is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if a final action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

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The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

Y. J. HU

By his Representatives,

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Date Dec. 11, 2003

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Name

Manula M. Dusta Signature PTO/SB/08A(10-01)
Approved for use through 10/31/2002, OMB 651-0031
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or the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 10/636180 STATEMENT BY APPLICANT (Use as many Peels as neessay) August 7, 2003 **Filing Date First Named Inventor** Hu, Y. 2811 **Group Art Unit** DEC 2 2 2003 **Examiner Name** Quach, Tuan Attorney Docket No: 303.808US2

| | US PATENT DOCUMENTS | | | | | | | | | |
|--------------------|------------------------|------------------|--|-------|----------|-------------------------------|--|--|--|--|
| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate | | | | |
| | US- 20010001717A1 | 05/24/2001 | Kumauchi, T. , et al. | 438 | 197 | 12/22/2000 | | | | |
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| | US-5,770,515 | 06/23/1998 | Meng, H., et al. | 438 | 592 | 12/12/1996 | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
|--------------------------|---------------------|------------------|--|-------|----------|----|--|--|--|
| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T² | | | |

| OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS | | | | | |
|---|-------------------------|---|----|--|--|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), | T² | | |
| i | l . | publisher, city and/or country where published. | 1 | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner:

Tuan Quach

Customer No.: 21186

OIP HUGGE

Serial No.: 10/636180

Due Date: N/A

Group Art Unit: 2811

Confirmation No.: 1763

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X A return postcard.

X A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.).

X The International Search Report from International Application No. PCT/US03/17579 (8 pgs.).

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Reg. No. 32,146

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this <u>/6</u> day of December, 2003.

Nome

Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. Customer Number 21186

(GENERAL)